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PTO/SB/21 (03-03) Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/772,344

Filing Date January 29, 2001

**RECEIVED**

First Named Inventor Richard Zodnik

Art Unit 2131

SEP 12 2003

Examiner Name Unknown

Technology Center 2100

Total Number of Pages in This Submission

Attorney Docket Number 155681-0007

| ENCLOSURES (Check all that apply)   |   |   |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><div style="border: 1px solid black; padding: 2px;">Remarks</div> | <input type="checkbox"/> After Allowance Communication to a Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below):<br>1. Form PTO/SB08A (Modified)<br>2. 21 IDS References |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                    |   |
|--------------------|---|
| Firm or Individual | Ben Yorks, Reg. No. 33,609<br>IRELL & MANELLA LLP |
| Signature          |   |
| Date               | September 8, 2003                                 |

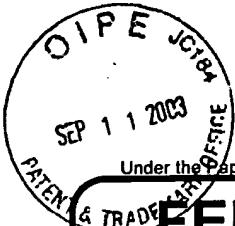
### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, 22313 on September 8, 2003

|                  |             |      |                   |
|------------------|-------------|------|-------------------|
| Typed or printed | Wendy Jones | Date | September 8, 2003 |
| Signature        |             | Date | September 8, 2003 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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# FEET TRANSMITTAL

## for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 0.00)

Complete if Known

|                      |                                       |
|----------------------|---------------------------------------|
| Application Number   | 09/772,344                            |
| Filing Date          | January 29, 2001                      |
| First Named Inventor | Richard Zodnik                        |
| Examiner Name        | Unknown                               |
| Art Unit             | 2131                                  |
| Attorney Docket No.  | Technology Center 2100<br>155681-0007 |

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SFP 12 2003

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

## Deposit Account:

Deposit Account Number: 09-0946  
Deposit Account Name: Irell & Manella, LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 1001 750                   | 2001 375                   | Utility filing fee     |          |
| 1002 330                   | 2002 165                   | Design filing fee      |          |
| 1003 520                   | 2003 260                   | Plant filing fee       |          |
| 1004 750                   | 2004 375                   | Reissue filing fee     |          |
| 1005 160                   | 2005 80                    | Provisional filing fee |          |
| SUBTOTAL (1) (\$)          |                            |                        |          |

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** =      | X              | =        |
| Multiple Dependent | - 3** =      | X              | =        |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|----------------------------|----------------------------|--|
| 1202 18                    | 2202 9                     | Claims in excess of 20                                     |
| 1201 84                    | 2201 42                    | Independent claims in excess of 3                          |
| 1203 280                   | 2203 140                   | Multiple dependent claim, if not paid                      |
| 1204 84                    | 2204 42                    | ** Reissue independent claims over original patent         |
| 1205 18                    | 2205 9                     | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) (\$)          |                            |  |

\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description  | Fee Paid |
|---------------|---------------|--|----------|
| 1051 130      | 2051 65       | Surcharge - late filing fee or oath  |          |
| 1052 50       | 2052 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053 130      | 1053 130      | Non-English specification  |          |
| 1812 2,520    | 1812 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804 920*     | 1804 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805 1,840*   | 1805 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251 110      | 2251 55       | Extension for reply within first month                                     |          |
| 1252 410      | 2252 205      | Extension for reply within second month                                    |          |
| 1253 930      | 2253 465      | Extension for reply within third month                                     |          |
| 1254 1,450    | 2254 725      | Extension for reply within fourth month                                    |          |
| 1255 1,970    | 2255 985      | Extension for reply within fifth month                                     |          |
| 1401 320      | 2401 160      | Notice of Appeal   |          |
| 1402 320      | 2402 160      | Filing a brief in support of an appeal                                     |          |
| 1403 280      | 2403 140      | Request for oral hearing   |          |
| 1451 1,510    | 1451 1,510    | Petition to institute a public use proceeding                              |          |
| 1452 110      | 2452 55       | Petition to revive - unavoidable   |          |
| 1453 1,300    | 2453 650      | Petition to revive - unintentional   |          |
| 1501 1,300    | 2501 650      | Utility issue fee (or reissue)   |          |
| 1502 470      | 2502 235      | Design issue fee   |          |
| 1503 630      | 2503 315      | Plant issue fee  |          |
| 1460 130      | 1460 130      | Petitions to the Commissioner  |          |
| 1807 50       | 1807 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806 180      | 1806 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021 40       | 8021 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809 750      | 2809 375      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810 750      | 2810 375      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801 750      | 2801 375      | Request for Continued Examination (RCE)                                    |          |
| 1802 900      | 1802 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

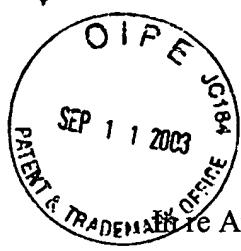
(Complete if applicable)

|                   |                   |                                   |        |           |              |
|-------------------|-------------------|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Ben Yorks         | Registration No. (Attorney/Agent) | 33,609 | Telephone | 949 760-0991 |
| Signature         | Ben Yorks         |                                   |        |           |              |
| Date              | September 8, 2003 |                                   |        |           |              |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

9-26-03  
JW

Application of:

Richard Zodnik

Application No.: 09/772,344

Filed: January 29, 2001

For: PORTABLE COMPUTER THAT CAN BE PLUGGED  
INTO A BACKPLANE

Examiner: Unknown

Group Art: 2131

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SEP 12 2003

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

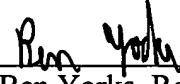
In accordance with Applicant's duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97-1.98, Applicant submits the enclosed Form PTO/SB/08A (Modified), along with copies of the documents listed therein.

It is hereby stated that this Information Disclosure Statement is being filed prior to the issuance of the initial Office Action, and therefore, no petition or fee is required. However, in the event a petition is needed for consideration of this Information Disclosure Statement, Applicant hereby so petitions. Please charge any fee due to Deposit Account No. 09-0946. An extra copy of the Fee Transmittal is enclosed for Deposit Account charging purposes.

Respectfully submitted,

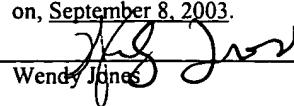
IRELL & MANELLA LLP

Dated: September 8, 2003

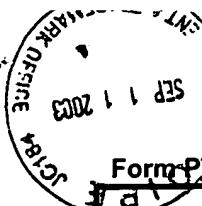
  
Ben Yorks, Reg. No. 33,609

840 Newport Center Drive  
Suite 400  
Newport Beach, CA 92660  
Telephone: (949) 760-0991

Certificate of Mailing  
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on, September 8, 2003.

  
Wendy Jones

9/8/03  
Date



Form PTO/SB/08A (Modified)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(use as many sheets as necessary)

SEP 11 2003

TRADEMA

Complete if known

|                        |                    |                        |
|------------------------|--------------------|------------------------|
| Application Number     | 09/772,344         | RECEIVED               |
| Filing Date            | January 29, 2001   |                        |
| First Named Inventor   | Richard Zodnik     | SEP 12 2003            |
| Group Art Unit         | 2131               |                        |
| Examiner Name          | Unknown            | Technology Center 2100 |
| Attorney Docket Number | 155681-0007 (P003) |                        |

Sheet

1

of

1

**U.S. PATENT DOCUMENTS**

| Examiner Initials | Cite No.     | U.S. Patent Document |           | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-------------------|--------------|----------------------|-----------|---|--|---|
|                   |              | Number               | Kind Code |   |  |   |
| 1.                | 6,427,198    |                      |           | Berglund, et al.                                | 07-30-2002                                       |   |
| 2.                | 6,480,922    |                      |           | Cunningham, et al.                              | 11-12-2002                                       |   |
| 3.                | 2001/0043290 |                      |           | Yamamoto  | 11-22-2001                                       |   |
| 4.                | 6,389,499    |                      |           | Frank, et al.                                   | 05-14-2002                                       |   |
| 5.                | 6,470,387    |                      |           | Fischer   | 10-22-2002                                       |   |
| 6.                | 6,347,345    |                      |           | Cheon   | 02-12-2002                                       |   |
| 7.                | 5,909,934    |                      |           | McGraw  | 06-08-1999                                       |   |
| 8.                | 6,526,582    |                      |           | Brodigan  | 02-25-2003                                       |   |
| 9.                | 6,145,126    |                      |           | Matsukura, et al.                               | 11-07-2000                                       |   |
| 10.               | 6,300,863    |                      |           | Cotichini, et al.                               | 10-09-2001                                       |   |
| 11.               | 6,044,411    |                      |           | Berglund  | 03-28-2000                                       |   |
| 12.               | 6,009,528    |                      |           | Teraoka   | 12-28-1999                                       |   |
| 13.               | 6,023,563    |                      |           | Shani   | 02-08-2000                                       |   |
| 14.               | 5,818,930    |                      |           | Mark  | 10-06-1998                                       |   |
| 15.               | 5,530,842    |                      |           | Abraham, et al.                                 | 06-25-1996                                       |   |
| 16.               | 5,348,324    |                      |           | Trotta  | 09-20-1994                                       |   |
| 17.               | 5,548,729    |                      |           | Akiyoshi, et al.                                | 08-20-1996                                       |   |
| 18.               | 5,174,223    |                      |           | Nagy, et al.                                    | 12-29-1992                                       |   |
| 19.               | 5,002,184    |                      |           | Lloyd   | 03-26-1991                                       |   |
| 20.               | 4,603,925    |                      |           | Cuevas-Cumming                                  | 08-05-1986                                       |   |
| 21.               | 4,562,482    |                      |           | Brown   | 12-31-1985                                       |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials | Cite No. | Foreign Patent Document |        |           | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-------------------|----------|-------------------------|--------|-----------|---|--|---|
|                   |          | Office                  | Number | Kind Code |   |  |   |
|                   |          |                         |        |           |   |  |   |

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

|                   |          |   |
|-------------------|----------|---|
| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|-------------------|----------|---|

Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.